

This report is mandatory under P.L. 85-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C. 439 or 440.

For Official Use Only

READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U- <u>11894</u>	2. Fiscal Year Covered From: <u>1</u> / <u>1</u> / <u>2004</u> Through: <u>12</u> / <u>31</u> / <u>2004</u>
3. Name and address of person filing. Name <u>David</u> <u>C</u> <u>Zimmermann</u> P.O. Box, Bldg., Room No., if any <u>PO BOX 96</u> Street <u></u> City <u>CRYSTAL CITY</u> State <u>Missouri</u> ZIP Code + 4 <u>63019-1424</u>	4. Name, file number, and address of labor organization. Name <u>Sheet Metal Workers' AFL-CIO LU #36</u> Labor Organization File Number <u>035-367</u> P.O. Box, Building and Room Number, if any <u></u> Street <u>301 S. EWING AVE</u> City <u>St. Louis</u> State <u>Missouri</u> ZIP Code + 4 <u>63103-2509</u>
5. Position in labor organization. <u>PRESIDENT/BUSINESS MANAGER</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

<p>A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.</p>	
<p>6. Name and address of Employer (including trade name, if any).</p> <p>Name <input type="text"/></p> <p>Trade Name, if any: <input type="text"/></p> <p>P.O. Box, Bldg., Room No., if any <input type="text"/></p> <p>Street <input type="text"/></p> <p>City <input type="text"/></p> <p>State <input type="text"/> ZIP Code + 4 <input type="text"/></p>	<p>7.a. Nature of Interest, Transaction, or Income.</p> <div style="border: 1px solid black; height: 100px; width: 100%;"></div> <p>7.b. Amount.</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>

Signature

16. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed

One

Date _____

Telephone Numbers

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name St. Louis Sheet Metal Joint Apprentice Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 3033 Spruce Street

City St. Louis

State Missouri ZIP Code + 4 63103-2529

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

Negotiation & representation of Apprentice School Contract with Employers

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Attendance at Apprentice Completion Dinner at \$42 per person.

12.b. Amount

\$84

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

14.b. Amount of payment.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name International Training Institute for the

Trade Name, if any: Sheet Metal and AirConditioning Ind

P.O. Box, Bldg., Room No., if any

Street 601 N. Fairfax St. Suite 240

City Alexandria

State Virginia ZIP Code + 4 22314

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name St. Louis Sheet Metal Joint Apprentice Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 3013 Spruce Ave

City St. Louis

State Missouri ZIP Code + 4 63103-2529

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

Education of Sheet Metal Apprentices

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Travel, lodging & meals at Feb & July Trustee meetings

12.b. Amount

\$1,373

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Sheet Metal Occupational Health Institute

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street 601 N. Fairfax St. Suite 240

City Alexandria

State Virginia ZIP Code + 4 22314

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name St. Louis Sheet Metal Joint Apprentice Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street 3033 Spruce Ave

City St. Louis

State Missouri ZIP Code + 4 63103-2529

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

Education of Sheet Metal Apprentices

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Travel, lodging, and meals at Feb. April, & July
Trustees meetings

12.b. Amount.

\$4,549

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name National Energy Management Institute, Inc.

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 601 N. Fairfax Ave Suite 250

City Alexandria

State Virginia ZIP Code + 4 22314

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name St. Louis Sheet Metal Joint Apprentice Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 3033 Spruce Ave

City St. Louis

State Missouri ZIP Code + 4 63103-2529

11.a. Nature of such dealing.

Education of Sheet Metal Apprentices

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Travel, lodging, and meals at Task force meeting and Feb & July Trustee meetings

12.b. Amount

\$1,300

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name National Energy Mang. Institute Committee

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 601 N. Fairfax Suite 250

City Alexandria

State Virginia ZIP Code + 4 22314

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name St. Louis Sheet Metal Joint Apprentice Fund

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 3033 Spruce Ave

City St. Louis

State Missouri ZIP Code + 4 63103-2529

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

Education of Sheet Metal Apprentices

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Travel, lodging, and meals at Feb and July Trustee meetings

12.b. Amount.

\$148

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Rockwood Capital Advisors

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1401 S. Brentwood

City St. Louis

State Missouri

ZIP Code + 4 63144

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Sheet Metal Local 36 Pension Plan

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 301 S. Ewing

City St. Louis

State Missouri

ZIP Code + 4 63103

9. Business deals with:

☐ a. Labor Organization☒ b. Trust☐ c. Employer

11.a. Nature of such dealing.

Investment Manager

11.b. Approximate dollar value of such dealing.

\$103,000

12.a. Nature of interest held or income received.

St. Louis Cardinal Baseball Tickets

12.b. Amount.

\$679

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Schuchat, Cook & Werner

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1221 Locust Street

City St. Louis

State Missouri

ZIP Code + 4 63103

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State

ZIP Code + 4

9. Business deals with:

☒ a. Labor Organization☐ b. Trust☐ c. Employer

11.a. Nature of such dealing.

Legal Representation

11.b. Approximate dollar value of such dealing.

\$40,000

12.a. Nature of interest held or income received.

St. Louis Cardinal Baseball Tickets

12.b. Amount.

\$222